

Patent

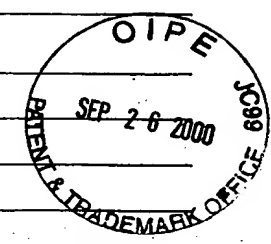
Attorney's Docket No. \_\_\_\_\_

Applicant or Patentee: \_\_\_\_\_

Application or Patent No.: \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

For: \_\_\_\_\_



**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 C.F.R. §§ 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Office of Technology Transfer

ADDRESS OF CONCERN University of Washington, 1107 N.E. 45th Street, Suite 200  
Seattle, WA 98105-4631

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. § 121.12, and reproduced in 37 C.F.R. § 1.9(d), for purposes of paying reduced fees under Sections 41(a) and 41(b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average, over the previous fiscal year of the concern, of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled Trifunctional reagent for conjugation to a biomolecule  
by inventor(s) D. Scott WILBUR and Bengt E.B. SANDBERG  
described in

- ☐ the specification filed herewith
- ☒ Application No. PCT/SE98/01345, filed 7 July 1998
- ☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern, or organization having rights to the invention is listed below,\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c), or by any concern that would not qualify as either a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 C.F.R. § 1.27.)

Application Serial No. \_\_\_\_\_  
Attorney's Docket No. \_\_\_\_\_

NAME Mitra Medical Technology AB

ADDRESS Ideon Research Park, 223 70 LUND, SWEDEN

☐ individual ☒ small business concern ☐ nonprofit organization

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ individual ☐ small business concern ☐ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earlier of the issue fee and any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b).)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code; and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Karen L. Deyerle, Ph.D.

TITLE OF PERSON OTHER THAN OWNER Technology Manager

ADDRESS OF PERSON SIGNING 1107 N.E. 45th Street, Suite 200 Seattle, WA  
98105-4631

SIGNATURE  DATE \_\_\_\_\_

#3

Patent

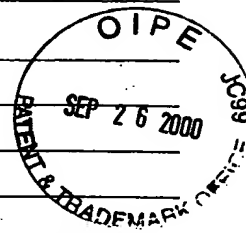
Attorney's Docket No. \_\_\_\_\_

Applicant or Patentee: \_\_\_\_\_

Application or Patent No.: \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

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(37 C.F.R. §§ 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN**

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an official of the small business concern empowered to act on behalf of the concern  
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I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled \_\_\_\_\_

Trifunctional reagent for conjugation to a biomolecule  
by inventor(s) D. Scott WILBUR and Bengt E.B. SANDBERG  
described in \_\_\_\_\_

- ☐ the specification filed herewith  
☒ Application No. PCT/SE98/01345, filed 7 July 1998  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

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Application Serial No. \_\_\_\_\_  
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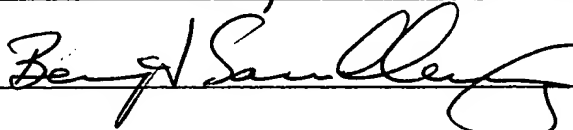
NAME Office of Technology Transfer  
ADDRESS University of Washington, 1107 N.E. 45th Street, Suite 200, Seattle, -  
[ ] individual [ ] small business concern [X] nonprofit organization WA 98105-4631, USA

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
[ ] individual [ ] small business concern [ ] nonprofit organization

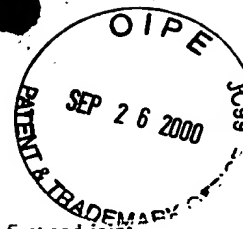
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earlier of the issue fee and any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b).)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code; and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Bengt E. B. Sandberg, Ph.D.  
TITLE OF PERSON OTHER THAN OWNER Managing Director, CEO  
ADDRESS OF PERSON SIGNING Mitra Medical Technology AB,  
Ideon Research Park, S-22370 Lund, Sweden

SIGNATURE  DATE 2 May 2000

# Declaration and Power of Attorney United States Patent Application



#3  
UNITED STATES (Form BDWY-1)  
Patents and Design Patents  
Sole & Joint Inventors  
Convention & Non-convention  
PCT & Non-PCT  
This form cannot be amended, altered  
or changed after it is signed.  
(For use only for inventors who  
understand the English language.)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Trifunctional reagent for conjugation to a biomolecule

(check one) ☐ is attached hereto.  
☒ was filed as U.S. Application No. \_\_\_\_\_ on 6 March 2000 and (if applicable)  
was amended on \_\_\_\_\_.  
☐ was filed as PCT International Application No. \_\_\_\_\_ on \_\_\_\_\_  
and (if applicable) was amended under PCT Article 19 on \_\_\_\_\_.  
(I authorize any attorney appointed below to insert information in the preceding blanks.)

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.  
I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign and PCT application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America listed in this Declaration. I have also identified below any foreign application for patent or inventor's certificate or PCT international application having a filing date before that of the application(s) on which priority is claimed:

Foreign/PCT Application No.	Country	Filing Date	Priority Claimed? (yes/no)

I hereby claim the benefit under Title 35, United States Code, §120 or §365(c) of any United States application and PCT international application designating the United States of America listed in this Declaration and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. Application No.	Filing Date	Status (patented/pending/abandoned?)
PCT/SE98/01345	7 July 1998	

I hereby claim priority benefits under Title 35 United States Code §119(e) of any U.S. provisional application(s) listed below:

U.S. Provisional Application No.	Filing Date

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Joseph A. DeGrandi (17446), Robert G. Weilacher (20531), Richard G. Young (20628), Michael A. Makuch (32263), Dennis C. Rodgers (32936), Thomas L. Evans (35805), Frank C. Cimino, Jr. (39945), Carolyn Favorito (39183), George A. Metzenthin (P41995), and Steven W. Collier (P42429).

Send all correspondence to Beveridge, DeGrandi, Weilacher & Young, L.L.P., Suite 800, 1850 M Street, N.W., Washington, D.C. 20036. Facsimiles may be sent to (202) 659-1462. Direct all telephone calls to (202) 659-2811.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: D. Scott Wilbur Citizenship: USA  
Residence (city, state, country): EDMONDS, WA 98026, USA  
Post office address: 6015 137th Pl. S.W., EDMONDS, WA 98026, USA

Signature: *D. Scott Wilbur* Date: 20 April 2000

Full name of second joint inventor, if any: \_\_\_\_\_ Citizenship: \_\_\_\_\_  
Residence (city, state, country): \_\_\_\_\_  
Post office address: \_\_\_\_\_

Signature: (Signed on separate Declaration) Date: \_\_\_\_\_

☐ Additional inventors and/or prior applications are listed in attached Supplemental Sheet(s).

BDWY 391

# Declaration and Power of Attorney United States Patent Application



UNITED STATES (Form BDWY-1)  
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Sole & Joint Inventors  
Convention & Non-convention  
PCT & Non-PCT  
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Full name of sole or first inventor:  
Residence (city, state, country):  
Post office address:

Citizenship:

Signature: (Signed on separate Declaration)

Date: \_\_\_\_\_

Full name of second joint inventor, if any: Bengt E.B. Sandberg

Citizenship: Sweden

Residence (city, state, country): 245 62 HJÄRUP, SWEDEN

Post office address: Blomstergården 18, 245 62 HJÄRUP, SWEDEN

Signature: Bengt Sandberg

Date: 2 May 2000

☐ Additional inventors and/or prior applications are listed in attached Supplemental Sheet(s).

BDWY 396